n re application of: erial No.:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Alexander Lifson

10/784,409

Filing Date:

February 23, 2004

Group Art Unit:

3744

For:

Fluid Diode Expansion Device For Heat Pumps

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PTO form 1449 is submitted herewith pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above identified application. Pursuant to Rule 1.98(d) copies need not be provided of the patents listed in the attached Form PTO-1449. None of these references discloses the invention claimed in the subject application. Also enclosed is the Search Report.

Pursuant to 37 C.F.R. 1.97(e)(1), each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. Applicant respectfully requests the Examiner to consider the enclosed references on PTO-1449 submitted herewith.

This Information Disclosure Statement is being submitted after receipt of the first Office Action. Enclosed is a check in the amount of \$180.00, pursuant to 1.17(p). Should there be a fee associated with this document, please charge it to Deposit Account No. 50-1482.

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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the attached Supplemental IDS, Form PTO-1449 and Search Report are being deposited with the U.S. Postal Service as First Class Mailing, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22043-1450 on Inly 25, 2005.

Lesley Upton

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/784,409		
Filing Date	February 23, 2004		
First Named Inventor	Alexander Lifson		
Art Unit	3744		
Examiner Name	Mohammad M. Ali		
Attorney Docket Number	60.246-251/10751		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,199,399	03/12/2001	Voorhis	
		^{US-} 5,345,780	09/13/1994	Aaron et al.	
		US- 5,004,008	04/02/1991	Drucker	
		^{US-} 5,715,862	02/10/1998	Palmer	
		^{US-} 5,085,058	02/04/1992	Aaron	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		475 10 1500	MM-DD-YYYY		Or Relevant Figures Appear	1
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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